

Customer ID No.:

客戶編號

☐ Hong Kong Branch

☐ 香港分行

FOR BANK USE ONLY 銀行專用

RELATIONSHIP OPENING FORM (INDIVIDUALS)

開戸表格（個人）

Purpose and reason for opening account 開戶目的及原因	<input type="checkbox"/> Savings/Investment 儲蓄 / 投資	<input type="checkbox"/> Loan Repayment 償還貸款
	<input type="checkbox"/> Trade finance 貿易融資	<input type="checkbox"/> Others (Please specify) _____ 其他 (請註明)
Type of Applicant 客戶類別	<input type="checkbox"/> Principal/Sole Applicant 主要 / 獨立申請人	<input type="checkbox"/> Joint Applicant(s): No of Applicants _____ 聯名申請人：申請人數目
Product 戶口類別	<input type="checkbox"/> Current Account 往來戶口	<input type="checkbox"/> Fixed deposit 定期存款

The current account and/or fixed deposit (equal to or less than 5 years in maturity) opened with ICICI Bank Limited, Hong Kong Branch is protected by Deposit Protection Scheme in Hong Kong up to a limit of HK\$800,000 per depositor.

在本行所開立的往來戶口及/或定期存款 (年期等如或少於五年) 均受香港存款保障計劃保障，最高保障額為每名存款人八十萬港元。

Instructions:

1. All fields are mandatory (mark N/A or strike off if not applicable) and please ensure that you fill in using CAPITAL letters only.
2. Please tick () where appropriate. Please do not use (X) or any other mark.
3. Please complete this form in English only. The English text of this form is the governing version and shall prevail if there is any discrepancy between the English version and the Chinese version.

SUPPORTING DOCUMENTS REQUIRED FOR COMMENCING A RELATIONSHIP

1. Proof of Identity:
 - a) Hong Kong Permanent Residents - Copy of Hong Kong Permanent Identity Card (HK ID)
 - b) Hong Kong Residents (Other than Permanent Residents) - Copy of HK ID Card and Passport
 - c) Hong Kong Non Residents - Copy of Passport
2. Proof of Residential Address - e.g. copy of latest utility bills/bank statements issued within last 3 months
3. Proof of Permanent Address if Permanent Address is different from Residential Address
4. Additional documents/ information might be requested by ICICI Bank, Hong Kong Branch, if necessary

請注意：

1. 所有資料都必須填寫(不適用者請刪去或填上N/A), 並請使用英文正楷。
2. 請在適當的地方加上剔號(✓), **不要使用(X)或其他符號**。
3. 請以英文填寫此表格。本表格以英文版本為準, 如英文和中文文本有所差異, 將以英文版本為準。

開立戶口所需的文件

1. 身份證明：
 - a) 香港永久性居民 — 香港永久性居民身份證副本
 - b) 香港居民 (非永久性居民) — 香港身份證及護照副本
 - c) 非香港居民 — 護照副本
2. 住宅地址證明 — 例如三個月之內的水電費單或銀行月結單
3. 永久地址證明 — 若永久地址與住宅地址不同
4. 如有需要, ICICI Bank Limited 香港分行可要求客戶提供其他證明文件或資料

PARTICULARS OF MAIN APPLICANT 主要申請人的個人資料

If you are an existing customer please provide your Customer ID:
如果已是本銀行客戶，請提供你的客戶編號

Salutation稱謂: ☐ Mr 先生 ☐ Mrs 太太 ☐ Miss 小姐 ☐ Other (Please specify) 其他 (請註明)

Full Name :
英文全名

Former Name/Alias (if any):
前用姓名 / 別名 (如有)

Gender : ☐ Female 女性 ☐ Male 男性
Marital Status : ☐ Single 單身 ☐ Married 已婚
Date of Birth 出生日期

☐ Hong Kong Identity Card 香港身份證 ☐ Passport 護照

Nationality 國籍 Place of Birth 出生地

[illegible]

Permanent Address:

永久地址

Employment Status: ☐ Self-Employed ☐ Full-Time Employed ☐ Student ☐ Housewife
職業狀況 ☐ 自僱 ☐ 全職 ☐ 學生 ☐ 主婦

☐ Not Currently Employed ☐ Retired ☐ Other (Please specify)
☐ 非在職 ☐ 退休 ☐ 其他 (請註明)

PARTICULARS OF MAIN APPLICANT 主要申請人的個人資料

Occupation:

職業

Name of Employer/Business:

僱主 / 公司名稱

Designation:

工作職位

Employer/Business:

僱主 / 公司業務

☐ Manufacturing

☐ 製造

☐ Trading

☐ 貿易

☐ Finance/Banking/Insurance

☐ 金融 / 銀行 / 保險

☐ Real estate

☐ 房地產

☐ Foreign exchange dealer

☐ 外匯交易

☐ Casino/gaming company

☐ 博彩

☐ Gems and jewellery dealer

☐ 珠寶首飾交易

☐ Restaurants

☐ 餐飲

☐ Any cash intensive business

☐ 大量現金交易之業務

☐ Money changer/remittance agent

☐ 貨幣兌換 / 滙款代理

☐ Other (Please specify)

☐ 其他 (請註明)

Office Address:

公司地址

Contact Number:

聯絡資料

Telephone:

電話

Fax:

傳真

Mobile Phone/Pager:

手提電話 / 傳呼機

Email:

電郵

1. Residential住宅

2. Office 公司

Preferred Communication Contact (Please tick any one. OTP will be sent to this contact number)

首選聯絡號碼 (請選擇一項, 一次性密碼將傳送至該號碼)

☐ Personal Mobile

☐ 私人手提電話

☐ Office Mobile

☐ 公司手提電話

Annual Income (HKD):

年薪 (港幣)

☐ Less than 200,001

☐ 200,001 以下

☐ 200,001 – 500,000

☐ 200,001 – 500,000

☐ 500,001 – 750,000

☐ 500,001 – 750,000

☐ 750,001 – 1,000,000

☐ 750,001 – 1,000,000

☐ Above 1,000,000

☐ 1,000,000 以上

☐ NA

☐ 不適用

I/We confirm that (name of the person or entity) _____ ☐ hold / ☐ do not hold (Tick any one) a Permanent Account Number (PAN) issued by Indian taxation authorities in my/our name/name of the entity or in the name of any branch or any other office located in India.

本人/吾等確認“個人客戶或機構名稱” _____ ☐ 持有 / ☐ 沒有持有 (選擇合適一項), 以本人/吾等機構名義或以任何一間分行名義或任何一間設於印度辦公室名義, 所發出的有關印度稅務機構“Permanent Account Number” (PAN)。

My/ our PAN is _____ (Fill if applicable)

本人/吾等的PAN是 _____ (如適用請寫上)

PARTICULARS OF JOINT APPLICANT 聯名申請人的個人資料

If you are an existing customer please provide your Customer ID:

如果已是本銀行客戶, 請提供你的客戶編號

Salutation稱謂: ☐ Mr 先生 ☐ Mrs 太太 ☐ Miss 小姐 ☐ Other (Please specify) 其他 (請註明)

Full Name:

英文全名

(First Name 名)

(Middle Name)

(Last Name 姓)

Former Name/Alias (if any):

前用姓名 / 別名 (如有)

Gender:

☐ Female

☐ 女

☐ Male

☐ 男

Marital Status:

☐ Single

☐ 單身

☐ Married

☐ 已婚

Date of Birth

出生日期

☐ Hong Kong Identity Card

☐ 香港身份證

☐ Passport

☐ 護照

Nationality 國籍

Place of Birth 出生地

Residential Address

住宅地址

Permanent Address:

永久地址

Employment Status:

職業狀況

☐ Self-Employed

☐ 自僱

☐ Full-Time Employed

☐ 全職

☐ Student

☐ 學生

☐ Housewife

☐ 主婦

☐ Not Currently Employed

☐ 非在職

☐ Retired

☐ 退休

☐ Other (Please specify)

☐ 其他 (請註明)

Occupation:

職業

PARTICULARS OF JOINT APPLICANT 聯名申請人的個人資料

Name of Employer/Business: 僱主 / 公司名稱												Designation: 工作職位																																																											
Employer/Business: 僱主 / 公司業務												<input type="checkbox"/> Manufacturing 製造												<input type="checkbox"/> Trading 貿易												<input type="checkbox"/> Finance/Banking/Insurance 金融 / 銀行 / 保險												<input type="checkbox"/> Real estate 房地產																							
<input type="checkbox"/> Foreign exchange dealer 外匯交易												<input type="checkbox"/> Casino/gaming company 博彩												<input type="checkbox"/> Gems and jewellery dealer 珠寶首飾交易												<input type="checkbox"/> Restaurants 餐飲																																			
<input type="checkbox"/> Any cash intensive business 大量現金交易之業務												<input type="checkbox"/> Money changer/remittance agent 貨幣兌換 / 滙款代理																																																											
<input type="checkbox"/> Other (Please specify) 其他 (請註明)																																																																							
Office Address: 公司地址																																																																							
Contact Number: 聯絡資料												Telephone: 電話												Fax: 傳真												Mobile Phone/Pager: 手提電話 / 傳呼機												Email: 電郵																							
1. Residential 住宅																																																																							
2. Office 公司																																																																							
Annual Income (HKD): 年薪 (港幣)												<input type="checkbox"/> Less than 200,001 200,001 以下												<input type="checkbox"/> 200,001 – 500,000												<input type="checkbox"/> 500,001 – 750,000												<input type="checkbox"/> 750,001 – 1,000,000												<input type="checkbox"/> Above 1,000,000 1,000,000 以上											
												<input type="checkbox"/> NA 不適用																																																											

PREFERENCES ON COMMUNICATIONS AND SIGNING CONDITION 通訊及簽署方式之偏好

Preferred Communication Address (Please tick any one. All communication will be sent to this address)
首選聯絡地址（請選擇一項，所有信函都將郵寄至該地址）

☐ Permanent Address ☐ Residential Address ☐ Office Address
 永久地址 住宅地址 公司地址

Signing Condition (applicable to Current Account request attached to this form only):
簽署方式（只適用於附於此表之往來戶口申請）

☐ Singly ☐ Either or Survivor ☐ Any one to sign ☐ Jointly
 單獨簽署 任何一人或尚存者簽署 任何一人簽署 共同簽署

Notwithstanding "signing condition" above, any application for premature withdrawal from fixed deposit account should be signed by all the account holders.
 儘管選擇了以上的簽署方式，任何提前取款之申請，都必需由全體戶口持有人聯署。

We intend to use your name, telephone number and address (email and physical) in direct marketing but we cannot use your personal data without your consent.

我們擬使用你的姓名、電話號碼及地址（電郵及信件）以作直接促銷用途，但我們在未得到你的同意之前不能如此使用你的個人資料。

Please sign at the end of this statement to indicate your agreement to such use. Should you find such use of your personal data not acceptable, please explicitly indicate your objection before signing by ticking the box below.

請在本文最後部份表示你同意如此使用你的個人資料。如你不同意，請在以下空格加上「✓」號，然後簽署。

☐ The customer named objects to the use of his/her personal data in direct marketing.
本人（姓名如下）反對使用個人資料以作直接促銷。

Signature of the main applicant 主要申請人簽署

Name 姓名:

Date 日期:

Signature of the joint applicant 聯名申請人簽署

Name 姓名:

Date 日期:

CURRENT ACCOUNT 往來戶口

Currency: ☐ HKD ☐ USD
貨幣 港元 美元

Local Address 本地地址:

Send me the cheque book by registered post (Chargeable) ☐ Yes 是 ☐ No 否
請把支票簿以掛號信（另外收費）郵寄給我

The Bank shall in normal course deliver the cheque books by ordinary mail. If you wish to receive the cheque books by registered post, you are required to inform the Branch. For cheque books sent by registered post, the applicable charges as per the Schedule of Charges shall be recovered from your account.

一般情況下，本行會以平郵郵寄支票簿。如果你希望以掛號信郵寄，請通知分行職員。以掛號信郵寄的支票簿的話，所需費用將按照收費表所列於你的戶口扣除。

Overseas Address 海外地址:

Cheque books to be sent to an overseas address will be delivered by registered post only and applicable charges as per the Schedule of Charges of the Bank will be recovered from your account.

郵寄至海外地址的支票簿只會以掛號信郵寄，所需費用將按照收費表所列於你的戶口扣除。

Note:

- 2 cheque books of 25 cheque leaves per account will be provided.
- Monthly account statement will be provided for the account unless there have been no transactions on the account since the last statement.
- Interest on daily balances will be credited monthly to the account.
- Please do not hand any cash to the Relationship Manager.

請注意：

- 每個戶口將獲發兩本支票簿，每本共有25張支票。
- 每個戶口將收到月結單，除非自上期月結單後該戶口並無任何收支記錄。
- 利息按每日存款餘額計算，將每月結付一次，存入戶口。
- 請勿把任何現金交予本行之客戶關係經理。

MODE OF FUNDING 存款方法

Mode of funding 存款方法

☐ Debit my/our account No. _____ with ICICI Bank Hong Kong branch.
從本人（等）之ICICI Bank Limited 香港分行戶口（賬號_____）轉賬

☐ Funding by Cheque No. _____ of (Provide Name of Bank) _____ for amount _____
從_____（銀行名稱）面額為_____之支票（號碼_____）支付

☐ Remittance from remitting bank _____ branch _____
and remittance reference No.* _____ of currency _____ and amount _____
從匯款銀行_____之_____分行匯款（貨幣_____金額_____參考編號_____）

(*The reference number will help us to identify your funds and enable us to open your deposit faster. The absence of the reference number may lead to delays in opening your deposit 參考編號可幫助我們確認你的存款，加快開戶進度。不填寫的話，可能導致存款延誤。)

I/We confirm that all the information obtained by you during the account opening process is, and from time to time will be, provided by me/us voluntarily; without which you will not be able to open or maintain any account or provide any services to me/us. I/We understand that my/our utilization of your services or operation of my/our account(s) will be governed by your policy statement relating to Personal Data (Privacy) Ordinance ("Policy Statement") and General Terms and Conditions Governing Account(s) and Secured Facilities, as amended from time to time ("Terms and Conditions") the receipt of which I/we acknowledge. I/We also confirm that we have received and read a copy of the Policy Statement and relevant terms in relation to personal data privacy and outsourcing in Terms and Conditions and agree to be bound by it. I/We agree that my/our personal data and information collected by you from time to time may be used and disclosed for such purposes and to such persons (whether in or outside Hong Kong) as set out in the Policy Statement and the Terms and Conditions. I/We further:

- a) agree and/or support the outsourcing arrangement referred to in the Terms and Conditions;
- b) understand that the fixed deposit (equal to or less than 5 years in maturity) and/or current account being opened by me/us is protected by the Deposit Protection Scheme in Hong Kong up to a limit of HK\$800,000 per depositor; and
- c) have read, fully understood and acknowledge the receipt of a copy of the standard fees and charges.

I/ We confirm that I/we have been informed of the prevailing rates applicable for deposits placed with ICICI Bank Limited, Hong Kong branch and in case of premature withdrawal by me/us such applicable rates may be applied by ICICI Bank Limited, Hong Kong branch, at its sole discretion, to arrive at the premature withdrawal amount due to me/us.

For every fixed deposit you open with us, we will send you the Deposit Confirmation Advice (DCA) to your registered email ID. If you require a physical DCA by post, please tick the box below:

☐ To register for receiving a physical DCA by post

本人（等）確認在開戶過程中所有向貴行提供的資料，以及將來不時向貴行提供的資料，皆由本人（等）自願提供；如本人（等）未能向貴行提供有關資料，貴行將不能為本人（等）開立或維持任何戶口，或提供任何服務。本人（等）明白本人（等）使用貴行之服務及貴行對於本人（等）戶口之操作，均受貴行之與《個人資料（私隱）條例》相關的政策聲明（「政策聲明」），以及不時修訂的《管理戶口及抵押貸款融通之一般條款細則》（「條款細則」）所約束，有關文件本人（等）已收到。本人（等）亦確認已收到及閱讀「政策聲明」，以及「條款細則」中與個人資料私隱與委外有關之條款，並同意受其約束。本人（等）同意貴行不時收集所得之本人（等）個人資料及信息，貴行可根據「政策聲明」及「條款細則」所述之用途予以使用，及向當中所述人士（無論在香港境內或境外）披露。再者，本人（等）：

- （一）同意及／或支持「條款細則」所述之委外安排；
- （二）明白本人（等）所開立的定期存款（年期等如或少於五年）及／或往來戶口受香港的存款保障計劃保障，最高保障額為每名存款人八十萬港元；及
- （三）已收到、詳閱及明白標準收費表。

本人（等）確認已獲知適用於在ICICI Bank Limited香港分行之存款的現行利率；並已獲知假若本人（等）提前取款，ICICI Bank Limited香港分行可最終酌情決定就該筆中途終止的存款，行使該適用利率。

本行會就每一份開立的定期存款發送存款確認通知書(DCA)到閣下註冊的電郵地址。若閣下需要以郵寄方式收取DCA，請於以下空格加上別號(✓)。

☐ 登記以郵寄方式收取DCA

Signature of Main Applicant 主要申請人簽署

Signature of Joint Applicant 1 聯名申請人1簽署

Signature of Joint Applicant 2 聯名申請人2簽署

Signature of Joint Applicant 3 聯名申請人3簽署

Date 日期:

Place 地點: _____

INDEMNITY RELATING TO INSTRUCTIONS GIVEN BY FAX, TELEPHONE AND OTHER FORMS OF ELECTRONIC COMMUNICATIONS

To,

ICICI Bank Limited Hong Kong Branch

Unit 1504B-1505, Level 15,
International Commerce Centre,
1, Austin Road West, Kowloon, Hong Kong.

Not with standing anything to the contrary contained in any other document/agreement, we, the undersigned, hereby request and authorize you to act and rely on any instructions or communications for any purpose (including but not limited to the instructions/communications pertaining to the operation of all our accounts or to any other facilities or services that may be provided by you from time to time) which may from time to time be or purport to be given by telephone, facsimile, untested telexes and faxes, telegraph, cable or any other form of electronic communication by us (including such instructions/communications as may be or purport to be given by those authorized to operate our account(s) with you) ("Instructions").

We understand and acknowledge that there are risks involved in sending the Instructions to you via telephone, facsimile, untested telexes and faxes, telegraph, cable or any other form of electronic communication and hereby agree that all risks shall be fully borne by us and we assume full responsibility for the same, and you will not be liable for any losses or damages arising upon your acting, or your failure to act, wholly or in part in accordance with the Instructions.

In consideration of you agreeing, subject to the terms and conditions hereunder, to act upon the Instructions as aforesaid, we hereby irrevocably agree and undertake:

- a. that you shall be entitled to act or refuse to act as you see fit, without incurring any liability whatsoever to us or to any other person, upon any Instructions for any purpose which may from time to time be or purport to be given by telephone, facsimile, untested telexes and faxes, telegraph, cable or any other form of electronic communication by us (including such Instructions as may be or purport to be given by those authorized to operate our account(s) with you), even if such Instructions or communications are not followed up by written confirmation to you;
- b. that you are not required to verify the identity of the person giving Instructions or make any independent investigation of the authority given to such person, or to verify the genuineness of any signature(s) which in your opinion appears to be that of any person authorized by us to operate our account(s) with you;
- c. not to make any claim against you by reason of or on account of you having so acted or you having acted wrongly or mistakenly or of your failure to act wholly or in part in accordance with the Instructions;
- d. that you shall be entitled (but not obliged) to keep records of our Instructions given or made by telephone, facsimile, untested telexes, telegraph, cable or any other form of electronic communication in such form, physical or electronic, as you may deem fit, and your records shall be conclusive and binding on us. You shall be entitled to dispose of or destroy any such records at any time as determined by you at your sole discretion;
- e. that you shall be entitled to require any Instruction in any form to be authenticated by use of any password, identification code or test as may be specified by you from time to time and we shall ensure the secrecy and security of such password, code or test and we shall be solely responsible for any improper use of the same;
- f. that, notwithstanding the above, you may, under circumstances determined by you in your discretion, require from us confirmation of any Instructions in such form as you may specify before acting on the same; and
- g. we shall indemnify you and keep you indemnified from and against all claims, either by us or any other, actions, demands, liabilities, costs, charges, damages, losses, expenses and consequences of whatever nature (including legal fees on a full indemnity basis) which may be brought or preferred against you or that you may suffer, incur or sustain by reason of or on account of your having so acted whether wrongly or mistakenly or not, or of you failing to act wholly or in part in accordance with the Instructions and terms of this letter;
- h. that this letter shall be governed by Hong Kong laws and we hereby irrevocably submit to the non-exclusive jurisdiction of the Hong Kong courts in the event of any dispute hereunder.

Signature of Main Applicant

Signature of Joint Applicant 1

Signature of Joint Applicant 2

Signature of Joint Applicant 3

Date:

Place: _____

FOR BANK USE ONLY

Checked and Verified By RM/Bank Official

Name: _____

Employee ID: _____

I hereby confirm that the client has signed in my presence

Sign: _____ Date: _____

To be filled by Operations team

Document Scrutinizer

Name: _____ Date: _____

Approver

Name: _____ Date: _____

有關以傳真、電話及其他電子通訊方式傳達指示的承諾書

致

ICICI Bank Limited香港分行

香港九龍柯士甸道西1號 環球貿易廣場15樓1504B-1505室

即使其他文件／協議有任何與此相反的條款，我們，即下述所有簽署人，謹此要求並授權貴行執行有關我們（包括經授權可操作我們戶口之人士）以電話、傳真、未經確認的電傳或傳真、電報、越洋電報或其他電子通訊方式為了特定目的不時發出或聲稱發出的所有指示及訊息（包括但不限於與我們所有戶口或其他由貴行不時提供的任何信貸或服務有關的指示及訊息）（「指示」）。

我們明白及承認透過電話、傳真、未經確認的電傳或傳真、電報、越洋電報或其他電子通訊方式發出指示涉及風險，並在此同意承擔所有相關的風險及負上全部責任，而貴行將毋須為執行或沒有執行全部或部分指示而導致的任何損失或損害承擔任何責任。

鑑於貴行遵照以下條款細則，同意執行上述的指示，我們謹此同意及不可撤銷地接受：

- 一) 貴行有權按照貴行認為合適的方式執行或拒絕執行我們（包括經授權可操作我們戶口之人士）以電話、傳真、未經確認的電傳或傳真、電報、越洋電報或其他電子通訊方式為了特定目的不時發出或聲稱發出的所有指示，而毋須向我們或任何人士負上責任，就算其後貴行並沒有收到該指示或訊息的書面確認；
- 二) 貴行毋須核實發出指示人士的身份，或者獨立調查給予該人士之授權，或者核實任何貴行認為是我們所授權人士簽名之真偽；
- 三) 不會因為貴行執行了或錯誤執行或沒有執行全部或部分指示，而向貴行提出索償；
- 四) 貴行有權（但並非貴行責任）以貴行認為適合的實體或電子方式，保留我們以電話、傳真、未經確認的電傳或傳真、電報、越洋電報或其他電子通訊方式所發出的指示之記錄，而該等記錄將不可推翻及具有約束力，而貴行有最終決定權對有關之記錄在任何時間作出棄置或銷毀；
- 五) 貴行有權要求任何形式的指示以貴行不時指定的密碼、識別碼或檢驗驗證。我們將對該等密碼、識別碼或檢驗作出保密及加以防護，並對任何不適當使用負上全部責任；六) 即使有以上條款，貴行可斟酌情況，在執行指示前要求我們以貴行指定的方式確認指示；
- 七) 對於貴行因為正確執行、錯誤執行或沒有執行全部或部分指示並根據此函件內之條款，而所遭受或承擔的索償（來自我們或其他人士的）、訴訟、查問、負債、費用、指控、損害、損失、支出及不同性質的後果（包括以完全補償基準計算的法律費用），我們將會向貴行作出賠償；
- 八) 此函件適用香港法律。日後若有任何爭議，我們同意不可撤銷地接受香港法院的非排他性司法管轄。

主要申請人簽署

聯名申請人1簽署

聯名申請人2簽署

聯名申請人3簽署

日期:

地點: _____

FOR BANK USE ONLY

Checked and Verified By RM/Bank Official	To be filled by Operations team
Name: _____	Document Scrutinizer
Employee ID: _____	Name: _____ Date: _____
I hereby confirm that the client has signed in my presence	Approver
Sign: _____ Date: _____	Name: _____ Date: _____

To: ICICI Bank Limited Hong Kong Branch
致: ICICI Bank Limited 香港分行

Self-Certification Form (Individual) for FATCA
《外國賬戶稅務合規法案》之客戶申報表(個人)

於2014年11月13日, 香港政府正式與美國簽署「版本二《跨政府協議》」。該協議將促進香港金融機構符合美國《外國賬戶稅務合規法案》(FATCA)。

FATCA is an anti-tax evasion regime enacted by the US to detect US taxpayers who use accounts with non-US financial institutions to conceal income and assets from the US Internal Revenue Service (IRS). Under the arrangements, all Financial Institutions in Hong Kong therefore are obliged to undertake the required due diligence from 1st July 2014 onwards so as to comply with FATCA including identifying accounts held directly or indirectly by U.S. Persons and to report the relevant account information to the US IRS. Please refer to the IRS website (<http://www.irs.gov/>) for more information about FATCA.

FATCA是一項由美國制定的反避稅制度, 以檢測透過非美國金融機構的戶口從美國稅務局隱瞞收入及資產的美國納稅人。因此, 由2014年7月1日起, 香港所有金融機構有責任執行符合FATCA所要求的盡職調查, 以識別直接或間接由美國人士持有的戶口。公眾人士呈交有關戶口資料予美國稅務局, 請參考美國稅務局網站(<http://www.irs.gov/>)有關更多FATCA的資料。

To comply with the FATCA which is effective starting 1 July 2014, this certificate is to be used by new account's applicants and account holders (individual) to declare whether the person is a U.S. person under the FATCA enacted in the United States on or after the effective date of FATCA.

為配合由2014年7月1日起正式生效之FATCA的要求, 本申報表適用於該法案生效日或以後所新開戶口申請人及戶口持有人(個人)申報其是否為該法案中定義的美國人士。

(1) Please complete in Block letters; and 請以英文正楷填寫; 及

(2) Please tick as appropriate 請於適當空格內加上「✓」號

Customer Name 客戶全名 (Please complete in English Block Letter 請以英文正楷填寫) _____

Identification Document Type ☐ HKID Card ☐ Passport ☐ Other _____ Document No. _____
身份證明文件類別 香港身份證 護照 其他 文件編號

Country of Birth 出生國家 _____ Date of Birth 出生日期

Declaration of Tax Residency 稅籍所在地申報

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country)

本人確認本人, 基於納稅目的, 於以下國家居住 (列出適用於每個國家的稅項參考編號種類及編號)

Country/countries of tax residency 國家/ 稅籍所在地國家	Tax reference number type 稅項參考編號種類	Tax reference number 稅項參考編號

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien)*

☐ Yes 是 ☐ No 否

本人是美國人士 (包括美國居民/美國公民/美國永久居民/美國綠卡持有人/美國定居之外國人) *

If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN):

U.S. Taxpayer Identification No. 美國稅務編號

如閣下的答案為『是』, 請提供閣下的美國稅務編號

-

*If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

* 如閣下之出生地為美國, 但於此申報表中申報為非美國人士, 請同時提供喪失美國國籍的證明。

Declaration 聲明

I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

本人確認以上所提供的資料是真實、準確及完整。若本人所提供的資料有任何變更, 本人承諾會於 30 日內通知銀行。

Subject to applicable local laws, I hereby consent for ICICI Bank Limited Hong Kong Branch or any of its local or overseas subsidiaries (collectively "the Bank") to disclose, report, or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.

根據當地適用的法律, 本人同意ICICI Bank Limited香港分行或任何其本地或海外附屬機構 (統稱「銀行」) 可向本地及海外監管機構或稅務機構披露、呈交或提供本人的個人資料以確立本人於任何司法管轄區的稅務責任。

Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

因應本地或海外監管機構或稅務機構的要求, 本人同意並准許銀行可按適用的法律、法規和指令於本人銀行戶口中扣留相關所須的款項。

I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

本人承諾會與銀行充分合作, 以確保銀行就處理與本人銀行戶口相關的事宜將符合適用的法律、法規和指令。

The following certification is applicable for a U.S. person (i.e. if you have ticked "Yes" in the relevant question above) only:

下列聲明只適用於美國人士 (如閣下於上述有關問題選擇『是』):

Under penalty of perjury, I certify that:

根據假證供的懲處下, 本人聲明:

(i) The number shown on this form is my correct U.S. Taxpayer Identification Number;

此表格上所示的號碼是本人正確的美國稅務編號;

(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding; and (b) I am not a U.S. citizen or other U.S. person.

本人是美國公民, 或其他美國人士。

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings. backup withholding as a result of a failure to report all interest or dividends, or (d) the U.S. IRS has notified me that I am no longer subject to backup withholding; and

如閣下為美國人士, 閣下須同意上述的聲明。美國稅務局並無要求閣下同意其他與避免繳交預扣稅無關的條文。

Customer's Signature 客戶簽署: _____

Date 日期 (MM-DD-YYYY): _____

Please specify an account number with the Bank to which the above signature is applicable:

請註明任何一個用以上簽署的銀行戶口號碼: _____

S.V

To: ICICI Bank Limited Hong Kong Branch
致: ICICI Bank Limited 香港分行

Self-Certification Form (Individual) for FATCA
《外國賬戶稅務合規法案》之客戶申報表(個人)

On 13 November 2014, the Hong Kong Government formally signed a Model 2 Intergovernmental Agreement (IGA) with the United States (US). This agreement will facilitate compliance with the US Foreign Account Tax Compliance Act (FATCA) by financial institutions (FIs) in Hong Kong.

於2014年11月13日, 香港政府正式與美國簽署「版本二《跨政府協議》」。該協議將促進香港金融機構符合美國《外國賬戶稅務合規法案》(FATCA)。

FATCA is an anti-tax evasion regime enacted by the US to detect US taxpayers who use accounts with non-US financial institutions to conceal income and assets from the US Internal Revenue Service (IRS). Under the arrangements, all Financial Institutions in Hong Kong therefore are obliged to undertake the required due diligence from 1st July 2014 onwards so as to comply with FATCA including identifying accounts held directly or indirectly by U.S. Persons and to report the relevant account information to the US IRS. Please refer to the IRS website (<http://www.irs.gov/>) for more information about FATCA.

FATCA是一項由美國制定的反避稅制度, 以檢測透過非美國金融機構的戶口從美國稅務局隱瞞收入及資產的美國納稅人。因此, 由2014年7月1日起, 香港所有金融機構有責任執行符合FATCA所要求的盡職調查, 以識別直接或間接由美國人士持有的戶口。公眾人士呈交有關戶口資料予美國稅務局, 請參考美國稅務局網站(<http://www.irs.gov/>)有關更多FATCA的資料。

To comply with the FATCA which is effective starting 1 July 2014, this certificate is to be used by new account's applicants and account holders (individual) to declare whether the person is a U.S. person under the FATCA enacted in the United States on or after the effective date of FATCA.

為配合由2014年7月1日起正式生效之FATCA的要求, 本申報表適用於該法案生效日或以後所新開戶口申請人及戶口持有人(個人)申報其是否為該法案中定義的美國人士。

(1) Please complete in Block letters; and 請以英文正楷填寫; 及

(2) Please tick as appropriate 請於適當空格內加上「✓」號

Customer Name 客戶全名 (Please complete in English Block Letter 請以英文正楷填寫) _____

Identification Document Type ☐ HKID Card ☐ Passport ☐ Other _____ Document No. _____
身份證明文件類別 香港身份證 護照 其他 文件編號

Country of Birth 出生國家 _____ Date of Birth 出生日期 ____/____/____

Declaration of Tax Residency 稅籍所在地申報

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country)

本人確認本人, 基於納稅目的, 於以下國家居住 (列出適用於每個國家的稅項參考編號種類及編號)

Country/countries of tax residency 國家/ 稅籍所在地國家	Tax reference number type 稅項參考編號種類	Tax reference number 稅項參考編號

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien)*

☐ Yes 是 ☐ No 否

本人是美國人士 (包括美國居民/美國公民/美國永久居民/美國綠卡持有人/美國定居之外國人) *

If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN):

U.S. Taxpayer Identification No. 美國稅務編號

如閣下的答案為「是」, 請提供閣下的美國稅務編號

____-____-____

*If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

* 如閣下之出生地為美國, 但於此申報表中申報為非美國人士, 請同時提供喪失美國國籍的證明。

Declaration 聲明

I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

本人確認以上所提供的資料是真實、準確及完整。若本人所提供的資料有任何變更, 本人承諾會於 30 日內通知銀行。

Subject to applicable local laws, I hereby consent for ICICI Bank Limited Hong Kong Branch or any of its local or overseas subsidiaries (collectively "the Bank") to disclose, report, or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.

根據當地適用的法律, 本人同意ICICI Bank Limited香港分行或任何其本地或海外附屬機構 (統稱「銀行」) 可向本地及海外監管機構或稅務機構披露、

呈交或提供本人的個人資料以確立本人於任何司法管轄區的稅務責任。

Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

因應本地或海外監管機構或稅務機構的要求, 本人同意並准許銀行可按適用的法律、法規和指令於本人銀行戶口中扣留相關所須的款項。

I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

本人承諾會與銀行充分合作, 以確保銀行就處理與本人銀行戶口相關的事宜將符合適用的法律、法規和指令。

I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

下列聲明只適用於美國人士 (如閣下於上述有關問題選擇「是」):

Under penalty of perjury, I certify that:

根據假證供的懲處下, 本人聲明:

(i) The number shown on this form is my correct U.S. Taxpayer Identification Number;

此表格上所示的號碼是本人正確的美國稅務編號;

(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding; and 本人不需繳納預扣稅, 因為: (a) 本人獲豁免不需繳納預扣稅, 或 (b) 本人未有收到美國稅務局通知指因本人未能呈交所有利息或股息而需繳納預扣稅, 或 (c) 美國國稅局通知本人不再需要繳交預扣稅; 及

(iii) I am a U.S. citizen or other U.S. person.

本人是美國公民, 或其他美國人士。

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings.

如閣下為美國人士, 閣下須同意上述的聲明。美國稅務局並無要求閣下同意其他與避免繳交預扣稅無關的條文。

Customer's Signature 客戶簽署: _____

Date 日期 (MM-DD-YYYY): _____

Please specify an account number with the Bank to which the above signature is applicable:

請註明任何一個用以上簽署的銀行戶口號碼: _____

S.V

RETAIL INTERNET BANKING – SERVICE APPLICATION FORM

網上零售銀行 - 服務申請表格

Terms with capitalized initials in this Application Form shall have the same meanings as used in the Internet Banking Terms and Conditions unless the context otherwise requires.

如非註明，本聲明中提及的所有條款將與「網上銀行條款及細則」內所載含義相同。

The Applicant confirms that:

申請人確認：

- 1) The information provided by the Applicant in this Application Form is true, correct, complete and up-to-date and the Bank is authorized to communicate and exchange such information with whatever sources it may consider appropriate for verifying it;

申請人於本申請表格內提供的資料均為真實無誤、完整及最新的資料，並同時授權本銀行可與任何本銀行認為合適的機構傳送或交換該等資料，以作審核用途；

- 2) The Applicant understands and agrees that the Bank reserves the right to reject his/her application without giving reasons;

申請人明白並同意本銀行保留拒絕他／她的申請而毋須提供原因之權利；

- 3) The Applicant has read, understood, and agrees to be bound by the provisions of this Application Form, the Internet Banking Terms and Conditions (the currently in force version is available at <http://www.icicibank.hk>), the General Terms and Conditions Governing Accounts and Secured Facilities, the Money Transfer Terms and Conditions and the applicable terms and conditions from time to time in force governing the use of the Services; The Applicant understands that the terms aforesaid may be modified by the Bank from time to time;

申請人已閱讀、明白並同意受本申請表格的條款、「網上銀行條款及細則」（最新有效版本可於 <http://www.icicibank.hk> 瀏覽）、「規管客戶及抵押貸款融通之一般條款及條件」、「匯款條款及細則」及不時修訂的有關使用本服務之適用條款細則所約束。申請人亦明白上述條款本銀行可不時更改；

- 4) The Applicant shall be solely responsible for the liabilities of the Applicant, the User and/or other persons using the Service arising from or in connection with the use of the internet banking service (the "Service").

申請人須獨自承擔申請人、使用者及／或其他有關使用本網上銀行服務（「本服務」）的所有責任；

- 5) The Service is made available to the Applicant as an additional communication channel with the Bank. Accordingly, the terms and conditions and mandates applicable to each Account of the Applicant and other agreements and arrangements between the Bank and the Applicant in relation to the conduct of the Applicant's Accounts and/or any other transactions between the Bank and the Applicant will continue to apply;

本服務是為申請人提供與本銀行的額外通訊渠道而設，故此適用於申請人每個客戶的條款細則、命令與其他本銀行與申請人之間有關申請人客戶的協議及安排，及／或任何本銀行與申請人之間的其他交易，將繼續有效；

- 6) The Applicant has read, understood and shall be bound by VII.16 (Use and Disclosure of data) of the General Terms and Conditions Governing Accounts and Secured Facilities (as amended from time to time) relating to the Personal Data (Privacy) Ordinance ("Ordinance");

申請人已閱讀、明白並須受與「個人資料（私隱）條例」（「私隱條例」）有關的「規管客戶及抵押貸款融通之一般條款及條件」第七節第16條（披露資料及使用）所約束；

- 7) The Applicant acknowledges that the Bank's headquarters (i.e. ICICI Bank Limited ["ICICI Bank"]) is incorporated under the laws of India and is licensed to carry on banking activities in India. ICICI Bank has decided to integrate and centrally manage and process the back office operations of ICICI Bank's overseas branches, including the Bank in India. Such back office operations form an essential part of the banking services which the Bank provides to the Applicant. The processing from India is expected to achieve substantial advantages in terms of cost, time and resources. The Bank's other current business operations will remain unchanged.

By facilitating the outsourcing system, the Bank would deploy resources better to serving the Applicant and focusing more on its core banking business.

As part of the outsourcing process the personal data of the Applicant (under and in accordance with the terms of the Ordinance), being held by the Bank, for the purpose of providing the Service, will be transferred to India. The outsourcing is subject to an Outsourcing Service Level Agreement between the Bank and the relevant units of the ICICI Bank (the "Agreement" which includes all amendment and variations thereto from time to time). The Agreement clearly provides that your personal data will be used in compliance with the Ordinance and the common law obligations on confidentiality. It is possible that the Bank and/or ICICI Bank has to provide the customer information to related authorities as required by government, regulatory or other legal authorities pursuant to the laws of India. While performing the outsourcing service, the access to customer and confidential information shall only be given to those who have taken proper steps to obtain authorization and hold the information for legitimate purposes, and operational procedures shall be set up to protect customer data confidentiality; and

申請人知悉本銀行總部（即 ICICI Bank Limited [「本銀行」]）是一間根據印度法律註冊成立的公司，並領有牌照在印度進行銀行業務。本銀行已決定合併及於印度中央管理及處理海外分行（包括香港分行）後勤部門之運作。該等後勤部門之運作是為我們向申請人提供銀行服務不可劃缺之一部份。於印度處理流程預期將可在成本上獲得重大優勢。本銀行的其他運作將不會改變。通過促進委外系統，本銀行可將資源利用得更佳而為申請人服務及專注於我們的核心銀行業務。

作為委外程序的一部份，本銀行持有之申請人個人資料（根據私隱條例定義）將為向申請人提供本服務之用途被傳送往印度。該委外安排是在本銀行與其他有關部門訂立之委外服務水準協議（「該協議」包括對其不時作出之修訂及變更）之規限下進行。

該協議明確規定申請人個人資料將在符合私隱條例及普通法保密責任的情況下使用。本銀行可能須按照政府監管機構或其他法律機關根據印度法律要求，將申請人資料提供予有關當局或當事方。當執行委外服務時，僅有已通過適當步驟獲得授權及為正當用途持有資料之人士方可查閱申請人及機密資料，且將設立操作程序以保障客戶資料之保密性；及

8) The Applicant has read and fully understood and acknowledges the information/promotional leaflet on the Deposit Protection Guarantee (the currently in force version is available at <http://www.icicibank.hk>)

申請人已閱讀並完全明白及知悉有關存款保障計劃的資料／小冊子（最新有效版本可於 <http://www.icicibank.hk> 瀏覽）。

9) The term "Applicant" as aforesaid shall refer to all the users in the case of joint accounts*.

如客戶為聯名客戶*，上述的「申請人」意指為聯名客戶的所有使用者。

10) In case of any discrepancy between the English and Chinese version of this application form, the English version shall prevail.

如英文和中文文本有所異，將以英文版本為準。

*Please note: In case of joint accounts where the mode of operation is not "Either or Survivor", the Service shall not be provided unless the said account's mode of operation is converted into "Either or Survivor". In the event that you wish that your joint account is to be converted into "Either or Survivor", kindly contact our Customer Service Officers for carrying out necessary formalities.

* 附註: 若聯名客戶之操作模式並非「其中一方或倖存者」，將不獲提供此網上銀行服務，除非前述客戶之操作模式轉換成「其中一方或倖存者」。若閣下希望將名下的聯名客戶轉換成「其中一方或倖存者」，請聯絡客戶服務主任辦理所需手續。

Signature of Main Applicant

主要申請人簽署

Signature of Joint Applicant 1

聯名申請人1簽署

Signature of Joint Applicant 2

聯名申請人2簽署

Signature of Joint Applicant 3

聯名申請人3簽署

Date 日期:

Place 地點: _____

FOR BANK USE ONLY 銀行專用

Checked and Verified By RM/Bank Official 由客戶關係經理 / 銀行職員核實

Name 姓名: _____

Employee ID 員工編號: _____

Sign 簽署: _____ Date 日期: _____

To be filled by Operations team 由營運團隊填寫

文件審核者

Name 姓名: _____ Date 日期: _____

Approver 批准者

Name 姓名: _____ Date 日期: _____