

RELATIONSHIP OPENING FORM (INDIVIDUALS)

Purpose and reason for opening account	<input type="checkbox"/> Savings	<input type="checkbox"/> Deposit
	<input type="checkbox"/> Remittances	<input type="checkbox"/> Others (Please Specify) _____
Type of Applicant	<input type="checkbox"/> Principal / Sole Applicant	<input type="checkbox"/> Joint Applicant(s): No of Applicants _____
Product	<input type="checkbox"/> Current Account	<input type="checkbox"/> Fixed Deposit

Instructions:

- All fields are mandatory (mark N/A or strike off if not applicable) and please ensure that you fill in using CAPITAL letters only.
- Please tick (✓) where appropriate. Please do not use (X) or any other mark.
- Please complete this form in English only.

PARTICULARS OF MAIN APPLICANT

Salutation: ☐ Mr ☐ Mrs ☐ Miss ☐ Others (Please specify) _____

Full Name: _____

Former Name / Alias (if any): _____

Gender: ☐ Female ☐ Male Marital Status: ☐ Single ☐ Married Date of Birth :

D	D	M	M	Y	Y	Y	Y
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☐ Hong Kong Identity Card

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☐ Passport

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Nationality _____ Place of Birth _____

Residential Address: _____

Permanent Address: _____

Employment Status: ☐ Self-Employed ☐ Full-Time Employed ☐ Student ☐ Housewife
☐ Not Currently Employed ☐ Retired ☐ Others (Please specify) _____

Occupation: _____

Name of Employer / Business: _____ Designation: _____

Employer / Business: ☐ Manufacturing ☐ Trading ☐ Finance / Banking / Insurance
☐ Real Estate ☐ Foreign Exchange Dealer ☐ Casino / Gaming Company
☐ Gems & Jewellery Dealer ☐ Restaurants ☐ Any cash intensive Business
☐ Money Changer / Remittance Agent ☐ Others, please specify: _____

Office Address: _____

Contact Number:	Telephone:	Fax:	Mobile Phone / Pager:	E-mail:
1. Residential				
2. Office				

Preferred Communication Details

☐ Personal Mobile ☐ Office Mobile (Please tick any one. OTP will be sent to this contact number)

Preferred Communication Address (Please tick any one. All communication will be sent to this address)

☐ Permanent Address ☐ Residential Address ☐ Office Address

Annual Income (HKD): ☐ Less than 200,001 ☐ 200,001 – 500,000 ☐ 500,001 – 1,000,000 ☐ Above 1,000,000 ☐ NA

I / We confirm that (name of the person or entity) _____ ☐ hold / ☐ do not hold (Tick any one) a Permanent Account Number (PAN) issued by Indian taxation authorities in my / our name / name of the entity or in the name of any branch or any other office located in India.

My / our PAN is (Fill if applicable)

We intend to use your name, telephone number and address (e-mail and physical) for direct marketing but we cannot use your personal data without your consent. Please indicate your consent or objection by ticking the box below:

☐ I/we consent to the use of my / our personal data for direct marketing

☐ I/we object to the use of my / our personal data for direct marketing

CURRENT ACCOUNT

I want to open: ☐ Retail current account ☐ Remittance current account

Currency: ☐ HKD ☐ USD

Enable Money Safe: Yes ☐ No ☐

If Yes, amount under Money Safe: ☐ HKD Account, amount _____ ☐ USD Account, amount _____

For remittance current account please note:

- NO minimum balance required.
- NO cheque book facility will be provided.
- NO interest on daily balances will be credited monthly to the account.

For retail current account please note:

- 2 cheque books of 25 cheque leaves per account will be provided.
- Interest on daily balances will be credited monthly to the account.

Local Address: (applicable only for retail current account)

Send me the cheque book by registered post (Chargeable): ☐ Yes ☐ No

The Bank shall in normal course deliver the cheque books by ordinary mail. If you wish to receive the cheque books by registered post, you are required to inform the Branch. For cheque books sent by registered post, the applicable charges as per the Schedule of Charges shall be recovered from your account.

Overseas Address:

Cheque books to be sent to an overseas address will be delivered by registered post only and applicable charges as per the Schedule of Charges of the Bank will be recovered from your account.

For current accounts please note :

- Monthly account statement will be provided for the account unless there have been no transactions on the account since the last statement
- Deposit in current account, Fixed Deposit (equal to or less than 5 years in maturity) is a deposit qualified for protection by the Deposit Protection Scheme in Hong Kong.
- Please maintain sufficient funds for meeting your daily and other ad hoc needs. Once the branch has segregated an amount in your Account(s) to be protected under Money Safe, you cannot transfer / withdraw the amount without physically visiting the Branch
- Money Safe release request must be submitted at the Hong Kong Branch in person. Amount mentioned above will no longer remain protected under Money Safe once it is released.

PARTICULARS OF JOINT APPLICANT

If you are an existing customer please provide your Customer ID:

Salutation: ☐ Mr ☐ Mrs ☐ Miss ☐ Other, please specify: _____

Full Name : _____

Former Name/Alias (if any): _____

Gender : ☐ Female ☐ Male Marital Status : ☐ Single ☐ Married Date of Birth :

☐ Hong Kong Identity Card: ☐ Passport

Nationality _____ Place of Birth _____

Residential Address: _____

Permanent Address: _____

Employment Status:

☐ Self-Employed ☐ Full-Time Employed ☐ Student ☐ House wife ☐ Not currently employed

☐ Retired ☐ Others, please specify: _____

Occupation: _____

Name of Employer / Business: _____ Designation: _____

Employer / Business:

- ☐ Manufacturing ☐ Trading ☐ Finance / Banking / Insurance ☐ Real Estate
☐ Foreign Exchange Dealer ☐ Casino / Gaming Company ☐ Gems & Jewellery Dealer ☐ Restaurants
☐ Any cash intensive Business ☐ Money changer / remittance agent ☐ Other, please specify: _____

Office Address: _____

Contact Number:	Telephone:	Fax:	Mobile Phone / Pager:	E-mail:
1. Residential				
2. Office				

Preferred Communication Details

☐ Personal Mobile ☐ Office Mobile (Please tick any one. OTP will be sent to this contact number)

Preferred Communication Address (Please tick any one. All communication will be sent to this address)

☐ Permanent Address ☐ Residential Address ☐ Office Address

Annual Income (HKD): ☐ Less than 200,001 ☐ 200,001 – 500,000 ☐ 500,001 – 1,000,000 ☐ Above 1,000,000 ☐ NA

I / We confirm that (name of the person or entity) _____ ☐ hold / ☐ do not hold (Tick any one) a Permanent Account Number (PAN) issued by Indian taxation authorities in my / our name / name of the entity or in the name of any branch or any other office located in India.

My / our PAN is (Fill if applicable)

Signing Condition (applicable to Current Account request attached to this form only) :

☐ Singly ☐ Either or Survivor ☐ Any one to sign ☐ Jointly

Notwithstanding "signing condition" above, any application for premature withdrawal from fixed deposit account should be signed by all the account holders.

RETAIL INTERNET BANKING – SERVICE APPLICATION

I/we authorize ICICI BANK Limited, Hong Kong Branch to enable internet banking services for my/our account (Please tick one): ☐ Yes ☐ No

(If "Yes" Selected)

I/we want to avail the feature of registering third-party payee* through the internet banking platform (Please tick one): ☐ Yes ☐ No

*Note: A third-party payee for the purpose of this service request refers to a third-party account (i.e., an account not in your exact name, as per ICICI Bank records) held either with ICICI Bank Limited Hong Kong Branch or with any other bank registered via Internet Banking. Please note that the registration of any payee will not be on the basis of Account Number validation.

GENERAL TERMS & CONDITIONS

- I/We confirm that all the information obtained by you during the account opening process and provided in this Application Form is, and from time to time will be, true, correct, complete, up-to-date and provided by me/us voluntarily; without which you will not be able to open or maintain any account or provide any services to me/us.
- I/We understand and agree that the Bank reserves the right to reject my/our application or any part of the application without giving reasons.
- I / We understand that my / our utilisation of your services or operation of my / our account(s) will be governed by your policy statement relating to Personal Data (Privacy) Ordinance (Policy Statement), the General Terms and Conditions Governing Account(s) and Secured Facilities, the Internet Banking Terms and Conditions, the Money Transfer Terms and Conditions, the Money Safe Protection Terms and Conditions and other applicable Terms and Conditions from time to time in force governing the use of the services and the account(s), as amended from time to time and accessible at <http://www.icicibank.hk> (altogether referred to as "Terms and Conditions").
- I/We also confirm that we have received and read a copy of the Policy Statement and relevant terms in relation to personal data privacy and outsourcing in Terms and Conditions and agree to be bound by it. I/We agree that my/our personal data and information collected by you from time to time may be used and disclosed for such purposes and to such persons (whether in or outside Hong Kong) as set out in the Policy Statement and the Terms and Conditions.
- I/We further:
 - agree and/or support the outsourcing arrangement referred to in the Terms and Conditions;
 - understand and acknowledge the information/promotional leaflet on the Deposit Protection Guarantee (the currently in force version is available at <http://www.icicibank.hk>); and
 - have read, fully understood and acknowledge the receipt of a copy of the standard fees and charges.
- I/ We confirm that I/we have been informed of the prevailing rates applicable for deposits placed with ICICI Bank Limited, Hong Kong branch and in case of premature withdrawal by me/us such applicable rates may be applied by ICICI Bank Limited, Hong Kong branch, at its sole discretion, to arrive at the premature withdrawal amount due to me/us.
- I/we understand that the terms with capitalized initials in this Application Form shall have the same meanings as used in the Terms and Conditions unless the context otherwise requires.
- I/we understand that in case of any discrepancy between the English and Chinese version of this application form, the English version shall prevail.

The term "Applicant" as aforesaid shall refer to all the users in the case of joint accounts*

***Please note:** In case of joint accounts where the mode of operation is not "Either or Survivor", the Service shall not be provided unless the said account's mode of operation is converted into "Either or Survivor". In the event that you wish that your joint account is to be converted into "Either or Survivor", kindly contact our Customer Service Officers for carrying out necessary formalities

Signature of Main Applicant	Signature of Joint Applicant 1
Signature of Joint Applicant 2	Signature of Joint Applicant 3
Date: <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	Place: <input type="text"/>

FOR BANK USE ONLY

Checked and Verified By RM / Bank Official Name: <input type="text"/> Employee ID: <input type="text"/> Sign: <input type="text"/> Date: <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	To be filled by Operations team Name: <input type="text"/> Date: <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> Approver Name: <input type="text"/> Date: <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
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INDEMNITY RELATING TO INSTRUCTIONS GIVEN BY FAX, TELEPHONE AND OTHER FORMS OF ELECTRONIC COMMUNICATIONS

To,
ICICI Bank Limited Hong Kong Branch

Suite 5703-5705, 57/F,
International Commerce Centre,
1 Austin Road West, Tsim Sha Tsui, Kowloon, Hong Kong.

Notwithstanding anything to the contrary contained in any other document / agreement, we, the undersigned, hereby request and authorise you to act and rely on any instructions or communications for any purpose (including but not limited to the instructions / communications pertaining to the operation of all our accounts or to any other facilities or services that may be provided by you from time to time) which may from time to time be or appear to be given by telephone, facsimile, untested telexes and faxes, telegraph, cable or any other form of electronic communication by us (including such instructions / communications as may be or appear to be given by those authorised to operate our account(s) with you) ('Instructions').

We understand and acknowledge that there are risks involved in sending the instructions to you via telephone, facsimile, untested telexes and faxes, telegraph, cable or any other form of electronic communication and hereby agree that all risks shall be fully borne by us and we assume full responsibility for the same and you will not be liable for any losses or damages arising upon your acting or your failure to act, wholly or in part in accordance with the Instructions.

In consideration of you agreeing, subject to the Terms and Conditions hereunder, to act upon the instructions as aforesaid, we hereby irrevocably agree and undertake:

- that you shall be entitled to act or refuse to act as you see fit, without incurring any liability whatsoever to us or to any other person, upon any instructions for any purpose which may from time to time be or appear to be given by telephone, facsimile, untested telexes and faxes, telegraph, cable or any other form of electronic communication by us (including such instructions as may be or appear to be given by those authorised to operate our account(s) with you), even if such instructions or communications are not followed up by written confirmation to you
- that you are not required to verify the identity of the person giving instructions or make any independent investigation of the authority given to such person or to verify the genuineness of any signature(s) which in your opinion appears to be that of any person authorised by us to operate our account(s) with you
- not to make any claim against you by reason of or on account of you having so acted or you having acted wrongly or mistakenly or of your failure to act wholly or in part in accordance with the instructions
- that you shall be entitled (but not obliged) to keep records of our instructions given or made by telephone, facsimile, untested telexes, telegraph, cable or any other form of electronic communication in such form, physical or electronic, as you may deem fit and your records shall be conclusive and binding on us. You shall be entitled to dispose of or destroy any such records at any time as determined by you at your sole discretion
- that you shall be entitled to require any instruction in any form to be authenticated by use of any password, identification code or test as may be specified by you from time to time and we shall ensure the secrecy and security of such password, code or test and we shall be solely responsible for any improper use of the same
- that, notwithstanding the above, you may, under circumstances determined by you in your discretion, require from us confirmation of any instructions in such form as you may specify before acting on the same
- we shall indemnify you and keep you indemnified from and against all claims, either by us or any other, actions, demands, liabilities, costs, charges, damages, losses, expenses and consequences of whatever nature (including legal fees on a full indemnity basis) which may be brought or preferred against you or that you may suffer, incur or sustain by reason of or on account of your having so acted whether wrongly or mistakenly or not or of you failing to act wholly or in part in accordance with the instructions and terms of this letter
- that this letter shall be governed by Hong Kong laws and we hereby irrevocably submit to the non-exclusive jurisdiction of the Hong Kong courts in the event of any dispute hereunder.

Signature of Main Applicant	Signature of Joint Applicant 1								
Signature of Joint Applicant 2	Signature of Joint Applicant 3								
Date: <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Place:
D	D	M	M	Y	Y	Y	Y		

FOR BANK USE ONLY

Checked and Verified By RM/Bank Official Name: _____ Employee ID: _____ I hereby confirm that the client has signed in my presence Sign: _____ Date: <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	To be filled by Operations team Document Scrutiniser Name: _____ Date: <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Approver Name: _____ Date: <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y																		
D	D	M	M	Y	Y	Y	Y																		
D	D	M	M	Y	Y	Y	Y																		

SELF-CERTIFICATION FORM (INDIVIDUAL) FOR FATCA OF MAIN APPLICANT

To: ICICI Bank Limited Hong Kong Branch
ICICI Bank Limited

Self-Certification Form (Individual) for FATCA

FATCA (Foreign Account Tax Compliance Act) is an anti-tax evasion regime enacted by the US to detect US taxpayers who use accounts with non-US financial institutions to conceal income and assets from the US Internal Revenue Service (IRS). Under the arrangements, all Financial Institutions in Hong Kong therefore are obliged to undertake the required due diligence from 1st July 2014 onwards so as to comply with FATCA including identifying accounts held directly or indirectly by U.S. Persons and to report the relevant account information to the US IRS. Please refer to the IRS website (<http://www.irs.gov/>) for more information about FATCA.

To comply with the FATCA which is effective starting 1 July 2014, this certificate is to be used by new account applicants and account holders (individual) to declare whether the person is a U.S. person under the FATCA enacted in the United States on or after the effective date of FATCA.

(1) Please complete in Block letters; and

(2) Please tick as appropriate

Customer Name (Please complete in English Block Letters) _____

Identification Document Type: ☐ HKID Card ☐ Passport ☐ Other _____ Document No.: _____

Country of Birth _____

Date of Birth:

D	D	M	M	Y	Y	Y	Y
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Declaration of Tax Residency

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country)

Country / Countries of tax residency	Tax reference number type	Tax reference number

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien)#

☐ Yes ☐ No

If you tick 'Yes' to the question, please provide your U.S. Taxpayer Identification No. (TIN):

#If you were born in U.S. but in this form, you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

Declaration

I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

Subject to applicable local laws, I hereby consent for ICICI Bank Limited Hong Kong Branch or any of its local or overseas subsidiaries (collectively 'the Bank') to disclose, report or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.

Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

The following certification is applicable for a U.S. person (i.e. if you have ticked 'Yes' in the relevant question above) only:

Under penalty of perjury, I certify that:

(i) The number shown on this form is my correct U.S. Taxpayer Identification Number

(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding

(iii) I am a U.S. citizen or other U.S. person.

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings as a result of a failure to report all interest or dividends or the U.S. IRS has notified me that I am no longer subject to backup withholding.

Customer's Signature:

Please specify an account number with the Bank to which the above signature is applicable:

Date:

M	M	D	D	Y	Y	Y	Y
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SELF-CERTIFICATION FORM (INDIVIDUAL) FOR FATCA OF JOINT APPLICANT

To: ICICI Bank Limited Hong Kong Branch
ICICI Bank Limited

Self-Certification Form (Individual) for FATCA

On 13 November 2014, the Hong Kong Government formally signed a Model 2 Intergovernmental Agreement (IGA) with the United States (US). This agreement will facilitate compliance with the US Foreign Account Tax Compliance Act (FATCA) by Financial Institutions (FIs) in Hong Kong.

FATCA is an anti-tax evasion regime enacted by the US to detect US taxpayers who use accounts with non-US financial institutions to conceal income and assets from the US Internal Revenue Service (IRS). Under the arrangements, all Financial Institutions in Hong Kong therefore are obliged to undertake the required due diligence from 1st July 2014 onwards so as to comply with FATCA including identifying accounts held directly or indirectly by U.S. Persons and to report the relevant account information to the US IRS. Please refer to the IRS website (<http://www.irs.gov/>) for more information about FATCA.

To comply with the FATCA which is effective starting 1 July 2014, this certificate is to be used by new account applicants and account holders (individual) to declare whether the person is a U.S. person under the FATCA enacted in the United States on or after the effective date of FATCA.

(1) Please complete in Block letters; and

(2) Please tick as appropriate

Customer Name (Please complete in English Block Letters) _____

Identification Document Type ☐ HKID Card ☐ Passport ☐ Other _____ Document No. _____

Country of Birth _____

Date of Birth:

Declaration of Tax Residency

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country)

Country / countries of tax residency	Tax reference number type	Tax reference number

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien)#

☐ Yes ☐ No

If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN):

#If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

Declaration

I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

Subject to applicable local laws, I hereby consent for ICICI Bank Limited Hong Kong Branch or any of its local or overseas subsidiaries (collectively 'the Bank') to disclose, report or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.

Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

The following certification is applicable for a U.S. person (i.e. if you have ticked 'Yes' in the relevant question above) only:

Under penalty of perjury, I certify that:

(i) The number shown on this form is my correct U.S. Taxpayer Identification Number

(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding

(iii) I am a U.S. citizen or other U.S. person.

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings as a result of a failure to report all interest or dividends or the U.S. IRS has notified me that I am no longer subject to backup withholding.

Customer's Signature:

Date:

Please specify an account number with the Bank to which the above signature is applicable:

To:

ICICI BANK Limited, HONG KONG BRANCH
Suite 5703-5705, 57/F,
International Commerce Centre,
1 Austin Road West, Tsim Sha Tsui,
Kowloon, Hong Kong

Ref. No.:

SELF-CERTIFICATION FORM - INDIVIDUAL**Important Notes:**

- This is a self-certification form provided by an account holder to ICICI Bank Limited HONG KONG BRANCH for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the ICICI Bank Limited, HONG KONG BRANCH to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- An account holder should report all changes in his/her tax residency status to the ICICI Bank Limited, HONG KONG BRANCH.
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the ICICI Bank Limited, HONG KONG BRANCH to the Inland Revenue Department.
- Please complete this form in BLOCK CAPITALS only.

Part 1 Identification of Individual Account Holder

(For joint or multiple account holders, complete a separate form for each individual account holder.)

(1) Name of Account Holder

Title (e.g. Mr, Mrs, Ms, Miss) Last Name or Surname *

First or Given Name *

Middle Name(s)

(2) Identity Card or Passport Number**(3) Current Residence Address**

Line 1 (e.g. Suite, Floor, Building, Street, District)

Line 2 (City) *

Line 3 (e.g. Province, State)

Country *

Post Code/ZIP Code

(4) Mailing Address

(Complete if different to the current residence address)

Line 1 (e.g. Suite, Floor, Building, Street, District)

Line 2 (City)

Line 3 (e.g. Province, State)

Country

Post Code/ZIP Code

(5) Date of Birth * (dd/mm/yyyy)**(6) Place of Birth ***

Town/City

Province/State

Country

Part 2 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") *

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

If a TIN is unavailable, provide the appropriate reason A, B or C:

Reason A - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

Reason B - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Jurisdiction of Residence	TIN	Enter Reason A, B or C if no TIN is available	Explain why the account holder is unable to obtain a TIN if you have selected Reason B

Part 3 Declarations and Signature

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the **ICICI Bank Limited, HONG KONG BRANCH** for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the **ICICI Bank Limited, HONG KONG BRANCH** to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

I certify that I am the account holder / I am authorized to sign for the account holder # of all the account(s) to which this form relates.

Delete as appropriate

I undertake to advise **ICICI Bank Limited, HONG KONG BRANCH** of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide **ICICI Bank Limited, HONG KONG BRANCH** with a suitably updated self-certification form within 30 days of such change in circumstances.

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

Signature		(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.)
Name	_____	
Capacity	_____	
Date:	<div> <div>M</div> <div>M</div> <div>D</div> <div>D</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

Appendix 1 - Definitions

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS'), the associated Commentary to the CRS, and domestic guidance. This can be found at the following link:

<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

If you have any questions then please contact your tax adviser or domestic tax authority.

'Account Holder' The term 'Account Holder' means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/ child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

'Financial Account' A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

'Participating Jurisdiction' A Participating Jurisdiction means a jurisdiction with which an agreement is in place pursuant to which it will provide the information required on the automatic exchange of financial account information set out in the Common Reporting Standard.

'Reportable Account' The term 'Reportable Account' means an account held by one or more Reportable Persons or by a Passive NFE with one or more Controlling Persons that is a Reportable Person.

'Reportable Jurisdiction' A Reportable Jurisdiction is a jurisdiction with which an obligation to provide financial account information is in place.

'Reportable Person' A Reportable Person is defined as an individual who is tax resident in a Reportable Jurisdiction under the tax laws of that jurisdiction. Dual resident individuals may rely on the tiebreaker rules contained in tax conventions (if applicable) to solve cases of double residence for purposes of determining their residence for tax purposes.

'Resident for tax purposes' Generally, an Entity will be resident for tax purposes in a jurisdiction if, under the laws of that jurisdiction (including tax conventions), it pays or should be paying tax therein by reason of his domicile, residence, place of management or incorporation, or any other criterion of a similar nature, and not only from sources in that jurisdiction. An Entity such as a partnership, limited liability partnership or similar legal arrangement that has no residence for tax purposes shall be treated as resident in the jurisdiction in which its place of effective management is situated. A trust is treated as resident where one or more of its trustees is resident. For additional information on tax residence, please talk to your tax adviser or refer to the OECD Automatic Exchange Portal at the following link:

<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/>

TIN (including 'functional equivalent') The term 'TIN' means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the following link: <http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a 'functional equivalent'). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.